

DATA PRIVACY NOTICE (EMPLOYEES)

Data controller: Chief Operating Officer

Data protection officer: Chief Operating Officer

The Lloyds Bank Foundation (“The Foundation”) for England and Wales collects and processes personal data relating to its employees and Trustees to manage the employment relationship. The Foundation is committed to being transparent about how it collects and uses that data and we will process your data according to the principles of the Data Protection Act 1998 (DPA) and, the EU General Data Protection Regulation (GDPR).

What information does The Foundation collect?

The Foundation collects and processes a range of information about you. This includes:

- your name, address and contact details, including email address and telephone number, photographs, date of birth and gender;
- the terms and conditions of your employment;
- details of your qualifications, skills, experience and employment history, including start and end dates, with previous employers and with The Foundation;
- information about your remuneration, including entitlement to benefits such as pensions or insurance cover;
- details of your bank account and national insurance number;
- information about your marital status, next of kin, dependants and emergency contacts;
- information about your nationality and entitlement to work in the UK;
- details of your working pattern and attendance at work;
- details of periods of leave taken by you, including holiday, sickness absence, family leave and sabbaticals, and the reasons for the leave;
- details of any disciplinary or grievance procedures in which you have been involved, including any warnings issued to you and related correspondence;
- assessments of your performance, including appraisals, performance reviews and ratings, training you have participated in, performance improvement plans and related correspondence;
- information about medical or health conditions, including whether or not you have a disability for which The Foundation needs to make reasonable adjustments;
- details of trade union membership; and
- equal opportunities monitoring information, including information about your ethnic origin, sexual orientation, health and religion or belief.

The Foundation collects this information in a variety of ways. For example, data is collected through, CVs or resumes; obtained from your passport or other identity

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documents such as your driving licence; from forms completed by you at the start of or during employment (such as benefit nomination forms); from correspondence with you; or through interviews, meetings or other assessments.

In some cases, The Foundation collects personal data about you from third parties, such as references supplied by former employers, information from employment background check providers and information from credit reference agencies.

Data is stored in a range of different places, including in your personnel file, in The Foundation's HR management systems and in other IT systems (including the Lloyds Bank Foundation's email system).

Why does The Lloyds Bank Foundation process personal data?

The Foundation needs to process data to enter into an employment contract with you and to meet its obligations under your employment contract. For example, it needs to process your data to provide you with an employment contract, to pay you in accordance with your employment contract and to administer benefit, pension and insurance entitlements.

In some cases, The Foundation needs to process data to ensure that it is complying with its legal obligations. For example, it is required to check an employee's entitlement to work in the UK, to deduct tax, to comply with health and safety laws and to enable employees to take periods of leave to which they are entitled.

In other cases, The Foundation has a legitimate interest in processing personal data before, during and after the end of the employment relationship. Processing employee data allows The Foundation to:

- run recruitment and promotion processes;
- maintain accurate and up-to-date employment records and contact details (including details of who to contact in the event of an emergency), and records of employee contractual and statutory rights;
- operate and keep a record of disciplinary and grievance processes, to ensure acceptable conduct within the workplace;
- operate and keep a record of employee performance and related processes, to plan for career development, and for succession planning and workforce management purposes;
- operate and keep a record of absence and absence management procedures, to allow effective workforce management and ensure that employees are receiving the pay or other benefits to which they are entitled;
- obtain occupational health advice, to ensure that it complies with duties in relation to individuals with disabilities, meet its obligations under health and safety law, and ensure that employees are receiving the pay or other benefits to which they are entitled;
- operate and keep a record of other types of leave (including maternity, paternity, adoption, parental and shared parental leave), to allow effective workforce

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management, to ensure that The Foundation complies with duties in relation to leave entitlement, and to ensure that employees are receiving the pay or other benefits to which they are entitled;

- ensure effective general HR and business administration;
- provide references on request for current or former employees;
- respond to and defend against legal claims; and
- maintain and promote equality in the workplace.

Where The Foundation relies on legitimate interests as a reason for processing data, it has considered whether or not those interests are overridden by the rights and freedoms of employees or workers and has concluded that they are not.

Some special categories of personal data, such as information about health or medical conditions, is processed to carry out employment law obligations (such as those in relation to employees with disabilities and for health and safety purposes).

Where The Foundation processes other special categories of personal data, such as information about ethnic origin, sexual orientation, health or religion or belief, this is done for the purposes of equal opportunities monitoring.

Who has access to data?

Your information will be shared internally, including with members of HR, your line manager, managers in the business area in which you work and IT staff if access to the data is necessary for performance of their roles.

The Foundation shares your data with third parties in order to obtain Pre-Employment references from other employers, obtain employment background checks from third-party providers. The Foundation may also share your data with third parties in the context of a sale of some or all of its business (in line with the Transfer of Undertakings (Protection of Employment) Regulations 2006 (TUPE)). In those circumstances the data will be subject to confidentiality arrangements.

The Foundation also shares your data with third parties that process data on its behalf, in connection with payroll, the provision of benefits and the provision of occupational health services.

Your data will be transferred to countries outside the European Economic Area (EEA) to process the monthly Payroll or to collect reference details if your previous employer/address is based outside of the EEA. .

How does The Foundation protect data?

The Foundation takes the security of your data seriously. The Foundation has internal policies and controls in place to try to ensure that your data is not lost, accidentally destroyed, misused or disclosed, and is not accessed except by its employees in the performance of their duties. Please refer to the Data Protection Security Policy for more information into our systems and controls for the security of data.

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Where The Foundation engages third parties to process personal data on its behalf, they do so on the basis of Binding contracts, are under a duty of confidentiality and are obliged to implement appropriate technical and The Foundational measures to ensure the security of data.

For how long does The Foundation keep data?

The Foundation will hold your personal data for the duration of your employment. The periods for which your data is held after the end of employment are set out in the data retention policy.

Your rights

As a data subject, you have a number of rights. You can:

- access and obtain a copy of your data on request;
- require The Foundation to change incorrect or incomplete data;
- require The Foundation to delete or stop processing your data, but only under certain circumstances and not where we still have a legal basis and legitimate interest for processing object to the processing of your data where The Foundation is relying on its legitimate interests as the legal ground for processing; and
- ask The Foundation to stop processing data for a period if data is inaccurate or there is a dispute about whether or not your interests override The Foundation's legitimate grounds for processing data.

If you would like to exercise any of these rights, please contact Chief Operating Officer.

If you believe that The Foundation has not complied with your data protection rights, you can follow the relevant internal complaint process as outlined in the Data Protection Policy.

Automated decision-making

Employment decisions are not based solely on automated decision-making

What if you do not provide personal data?

You have some obligations under your employment contract to provide The Foundation with data. In particular, you are required to report absences from work and may be required to provide information about disciplinary or other matters under the implied duty of good faith. You may also have to provide The Foundation with data in order to exercise your statutory rights, such as in relation to statutory leave entitlements. Failing to provide the data may mean that you are unable to exercise your statutory rights.

Certain information, such as contact details, your right to work in the UK and payment details, have to be provided to enable The Foundation to enter a contract of employment with you. If you do not provide other information, this will hinder The Foundation's ability to administer the rights and obligations arising as a result of the employment relationship efficiently.